Annex 5

A5-1 Experimental subsoil classification of the building sites

Table A5-1.1	Cumaná city, Venezuela
Table A5-1.2	Northanatolian provinces, Türkiye
Table A5-1.3	Sultandağı (Afyon), Türkiye

A5-2 Specification of the damaging mainshock level

Table A5-2.1	Intensity map of the 1997 Cariaco, Venezuela, earthquake
Table A5-2.2	Intensity map of the 1999 İzmit, Türkiye, earthquake
Table A5-2.3	Intensity map of the 2002 Sultandağı, Türkiye earthquake

A5-3 Documentation of damage cases

Table A5-3.1	Sultandağı, residential building	(SUL)
Table A5-3.2	İzmit, residential building	(IZT-1)
Table A5-3.3	İzmit, residential building	(IZT-2a)
Table A5-3.4	İzmit, residential building	(IZT-2b)
Table A5-3.5	İzmit, residential building	(IZT-2c)
Table A5-3.6	Düzce, residential building	(DUZ-1)
Table A5-3.7	Düzce, residential building	(DUZ-2)
Table A5-3.8	Seymen, residential building	(SEM)
Table A5-3.9	Sapanca, residential building	(SAC)
Table A5-3.10	Gölyaka, Yavuzlar Fındık	(YZL)
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Table A5-3.12	Cumaná, Edificio Miramar	(MIR)
Table A5-3.13	Cumaná, Edificio Residencial	(EDR)
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Investigated region/site Cumaná city Venezuela	Table A5-1.1	Experimental subsoil classification of selected building sites	
	Investigated region/site	Cumaná city, Venezuela	

General description of the study region

The investigated region is situated in Northeastern Venezuela close to the Gulf of Cariaco, part of the Caribbean Sea. The small town Cariaco and the capital of the State of Sucre Cumaná were the most affected towns during the Cariaco earthquake on July 9, 1997.

The M_w 6.9 earthquake is supposed to be originated from the east-west trending El Pilar Fault; the epicenter was located north-east of the town Cariaco ($R_e = 13$ km). Although the epicentral distance of Cumaná City was about 80 km, severe earthquake damage could be observed here.

Geological situation

The major geological units of the study region can be described as: metamorphic rocks (Mm) of the Araya-Paria peninsula to the north and mainly Cretaceous sedimentary rocks of the Interior Range (Cl) to the south, both roughly separated by the El Pilar fault. The basement geology of Cumaná consists of Neogene rocks (Ts), also exposed on the western edge of Araya peninsula and north-west of Cariaco. Both, Cumaná and Cariaco are situated on local basin structures consisting of Quaternary sediments.

Cumaná City: The city is located on a thick sequence of Holocene alluvial/delta plain deposits of the Manzanares River (BELTRÁN & RODRIGUEZ, 1995). Due to the presence of former meanders of the Manzanares River and lagoons along the seashore, large areas of Cumaná are characterized by poorly consolidated sediment layers. Thus leads to induced effects as liquefaction or lateral spread phenomena and contributes to the susceptibility of Cumaná to earthquake damage.

Cariaco City: Maximum total thickness of sediments is estimated to exceed 90 m. The uppermost layers consist of fine sands interbedded with clay, underneath are coarse grained sands with gravels overlying the weathered top of Cretaceous limestones (or relicts of Tertiary sediments; GONZÁLEZ *et al.*, 2002). The sediments of Cariaco are controlled by the El Pilar fault system. The basin is characterized by heterogeneous stratified sediments from the sedimentary range to the south and the metamorphic units to the north. Within the southern part of Cariaco, the Cariaco River *(Rio Cariaco)* left several abandoned meanders tending to liquefaction phenomena.





Table A5-1.1 (cont.) Experimental subsoil classification of selected building sites					
Investi	igated region/site	Cumaná city, V	Venezuela		
UDO	Site information - the only (digital) reco - top of a gentle hill in - Pleistocene cobble at - topographic feature (- no structural damage Subsoil classification according to AMBRASEYS et al. (1996) MESSLAS (LANG et al., 2003	ording station o southwestern C lluvial sediment gentle hill) is in the surroun <i>site class</i> stiff a) B2	f the mainshock Cumaná s dings reported <i>on the basis of</i> available subsoil information shape of <i>HVNR</i>	Spectral	H/V-ratios on microtremors (HVNR)
AVE	Site information - located close to the v rim of a former lagoor - Holocene sedime susceptibility to liquef - heavy structural dan surroundings Subsoil classification according to AMBRASEYS et al. (1996) MESSLAS (LANG et al., 2003	western seashor ents with me action hages to high-ri <i>site class</i> (very) soft a) B3	e (~ 700 m) on the oderate to high se buildings in the <i>on the basis of</i> available subsoil information shape of <i>HVNR</i>	Spectral	H/V-ratios on microtremors (HVNR)
MIR	Site information - located close to the a former lagoon - Holocene sediments - heavy structural dam Subsoil classification according to AMBRASEYS et al. (1996) MESSIAS (LANG et al., 2003	western seashor ages in the surr <i>site class</i> (very) soft a) B3	e (~ 500 m) within oundings reported <i>on the basis of</i> available subsoil information shape of <i>HVNR</i>		H/V-ratios on microtremors (HVNR)

Table A5-1.1 (cont.) Experimental subsoil classificatio				n of selected building sites
Investi	gated region/site	Cumaná city, Y	Venezuela	
EDR	Site information - located close to the r former lagoon - possibly Holocene se - minor structural dam	orthern seasho diments ages in the surr	re on the ridge of a roundings	Spectral H/V-ratios on microtremors (HVNR) no data available
	Subsoil classification according to Ambraseys et al. (1996) <i>MESSIAS</i> (Lang et al., 2003	site class soft a) B3	on the basis of results of HVNR acc. to ABEKI et al. (1998)	
ΤΟΥ	Site information - located east of Cuma de Caigüire - Pleistocene sediment plain (pers. comm. To - heavy structural dam no other damages in th	ná on the south ts overlain by a YOTA VENEZUE hages only to th le surroundings	nern base of <i>Cerros</i> a Holocene alluvial ELA) ne Toyota building, reported	Spectral H/V-ratios on microtremors (HVNR)
	Subsoil classification according to Ambraseys et al. (1996) MESSIAS (Lang et al., 2003	site class stiff (soft) a) C2	on the basis of available subsoil information shape of HVNR	0.1 0.1 1 Frequency f [Hz]

Table A5-1.2 (cont.)	Experimental subsoil classification of selected building sites
Investigated region/site	Northanatolian provinces, Türkiye
Geological map of the province	<image/>
SEM Site information - located south of approximately 200 m - soft surface geole moderate total thicknes - devastating structure reported Subsoil classification according to AMBRASEYS et al. (1996) MESSLAS (LANG et al., 2003)	$\begin{array}{c} \hline Izmit \ Bay, \ in \ a \ distance \ of \\ pgy (Holocene \ sediments), \ with \\ ess of overlying stratum \\ ral \ damage \ in \ the \ surroundings \\ \hline site \ class \ on \ the \ basis \ of \\ (very) \ soft \ available \ subsoil \\ information \\ a) \ B3 \ shape \ of \ HVNR \end{array}$



Tab	le A5-1.2 (cont.) Experimental subsoil classification	on of selected building sites
Investi	gated region/site Northanatolian provinces, Türkiy	re
IZT-2	Site information - located within a sedimentary basin, which i characterized by small topographic inclinations - Holocene sediments of high thickness (~ 200 m) - heavy structural damage in the surroundings reported	Spectral H/V-ratios on microtremors (HVNR)
	Subsoil classificationaccording tosite classAMBRASEYS et al. (1996)softavailablesubsoilinformationMESSLAS (LANG et al., 2003a)C3shape of HVNR	L 0.25 - 0.3 0.1 0.1 0.1 0.1 0.1 0.1 0.1 0.1 0.1 0.1
SAC	Site information - located at the southern edge of the city Sapanca - small elevated topography - geological conditions are characterized by Holocen sediments adjoining Pleistocene sediments in the eas and Paleozoic rocks in the south - moderate structural damage to high-rise buildings in the surroundings Subsoil classification according to site class AMBRASEYS et al. (1996) stiff (soft) MESSLAS (LANG et al., 2003a) B2	Spectral H/V-ratios on microtremors (HVNR)
YZL	Site information - located at the margin of a basin, filled with quaternary alluvium (and basal layer of Pleistocene-age laked deposits), uppermost sediments consist of Holocene alluvium, sediment thickness up to 50 m - heavy structural damage in the surroundings reported Subsoil classification according to site class on the basis of AMBRASEYS et al. (1996) (very) soft available subsoin information MESSLAS (LANG et al., 2003a) B3 shape of HVNR	Spectral H/V-ratios on microtremors (HVNR)

Table	e A5-1.2 (cont.)	Experimental s	subsoil classification	on of selected building sites
<i>Investigated region/site</i> Northanatolian provinces, Türkiye			re	
DUZ-1	Site information - located in the center alluvium (and basa deposits), uppermoss alluvium, sediment t - light to moderater surroundings reporter Subsoil classification according to AMBRASEYS et al. (1996) MESSLAS (LANG et al., 2002)	er of a basin fille al layer of Ple t sediments con- hickness 10 to 1 structural dan- d (northern par <i>site class</i> soft 3a) B3	ed with Quaternary eistocene-age lake onsist of Holocene 100 m mage in the close t of Düzce city) <i>on the basis of</i> available site information shape of <i>HVNR</i>	Spectral H/V-ratios on microtremors (HVNR)
DUZ-2 DZC	Site information - equal to the main Meteorology Station - located in the center alluvium (and basa deposits), uppermoss alluvium, sediment t - rock found at depth - occurrence of lique - heavy structural da surroundings (south- Subsoil classification according to AMBRASEYS et al. (1996) MESSLAS (LANG et al., 2002)	inshock record (DZC) run by 2 or of a basin filled al layer of Plot t sediments con hickness 10 to 2 of 128 m (KUI faction in the su mage to high-r western part of <i>site class</i> (very) soft 3a) B3 (C3)	ing station Düzce AFET ed with Quaternary eistocene-age lake onsist of Holocene 100 m DO <i>et al.</i> , 2002) urroundings ise buildings in the Düzce city) <i>on the basis of</i> available site information shape of <i>HVNR</i>	Spectral H/V-ratios on microtremors (HVNR)
GBZ	Site information - mainshock recordin - local topography I was reported to be soil near the static reddish sandstone - no damage in the v Subsoil classification according to AMBRASEYS et al. (1996) MESSLAS (LANG et al., 2002	ng station run by has rolling hill 3-4 m thick ov on, float colle icinity are report <i>site class</i> rock (stiff soil) 3a) A1	y AFET s, undisturbed soil ver bedrock, rocky cted from soil is rted on the basis of available site information shape of HVNR	Spectral H/V-ratios on microtremors (HVNR)

Table A5-1.2 (cont.) Experimental subsoil classification				n of selected building sites
Investige	ated region/site N	Iorthanatoliar	ı provinces, Türkiye	
YPT	Site information			Spectral H/V-ratios on microtremors (HVNR)
	- mainshock recording station run by KOERI - located on flat topography within a river delta dominated by sediment accumulation, fine-grained clays and silts at the surface with $v_s = 340$ m/sec - deepest layer observed (with $v_s = 950$ m/sec) is estimated at a depth of ~ 500 m (KUDO <i>et al.</i> , 2002) - $v_s = 700/750$ m/sec at depth of 226 m (RATHJE <i>et al.</i> , 2003)		y KOERI thin a river delta ation, fine-grained = 340 m/sec s = 950 m/sec is JDO <i>et al.</i> , 2002) 6 m (RATHJE <i>et al.</i> ,	no data available
	Subsoil classification			
	according to Ambraseys et al. (1996)	<i>site class</i> soft soil	on the basis of available subsoil information	
	(LANG <i>et al.</i> , 2003a)	(H > 250m)	velocity profiles	
IZT	Site information			Spectral H/V-ratios on microtremors (HVNR)
	- mainshock recording - located on a steep hi be erosion and soil for (maybe sandstone/lime - $v_s = 1500$ m/s at dept - record is esteemed as the hillside location Subsoil classification	station run b Ilside, geolog ormation of u estone mix) h of 10 m (RA s not being re	y AFET gical process would inderlying bedrock ATHJE <i>et al.</i> , 2003) presentative due to	10 IZT: HVNR of 81.92 sec
	according to AMBRASEYS et al. (1996) MESSLAS (LANG et al., 2003a)	<i>site class</i> rock A1	<i>on the basis of</i> available subsoil information shape of <i>HVNR</i>	0.1 0.1 1 1 0 20 Frequency f [Hz]
SKR	Site information			Spectral H/V-ratios on microtremors (HVNR)
	- mainshock recording - gentle hillside with the north and west, exp - $v_s = 800$ m/s at depth - no damage in the vice	station run b moderate slo posed bedrocl of 5-15 m (R inity are repo	y AFET pe, steeper hills to k is limestone ATHJE <i>et al.</i> , 2003) rted	10 SKR: HVNR of 81.92 sec
	Subsoil classification			
	according to	site class	on the basis of	
	AMBRASEYS et al. (1996) MESSIAS (LANG et al., 2003a)	rock	available subsoil information shape of <i>HVNR</i>	
			1	0.1 1 10 20 Frequency f [Hz]











Table A5-3.1 Damage case: Sultandağı, residential building			SUL		
<i>Structural type</i> 5-story RC-frame structure (building shell)					
Description of the strue	cture				
Year of construction: 2001/02		Elevation design:	regular		
Anti-seismic design: no		Plan design:	regular		
Type of use: residential building		Type of foundation:	RC strip foundation		
No. of stories:	o. of stories: 5		Type of slabs:	reinforced-concrete ($t = 0.12$ m)	
Basement:	0		Type of infills:	no	
Cores: 0		Grade of damage:	<i>DG</i> (EMS-98) =	3	



General view of the northwest facade



Floor plan (arrangement of columns and beams)

General view of the south facade



Schematic cross-section (story heights)

Table A5-3.1 (cont.)	Damage case: Sultandağı, residential building	SUL			
Structural type	5-story RC-frame structure (building shell)				
Structural damage					
 small cracks in columns the ground story damage to stair landings story showing spalling element displacements of 	and beams, especially at of ground and first upper of concrete covers and few centimeters (cf. \rightarrow)				
Structural model ¹⁾					
Structural model "					

¹⁾ adopted from SCHOTT *et al.* (2003)

Table A5-3.2		Damage case: İzmit, residential building			IZT-1	
Structural type		7-story RC-frame	structure	e (building shell)		
Description of the stru	cture					
Year of construction: Anti-seismic design:	1999 no			Elevation design:	irregular (partly 1 st /2 nd story)	brick infills in
Type of use:	resider	ntial building		Plan design:	regular	
No. of stories:	7			Type of foundation:	RC plate-strip foundation	
Basement:	0			Type of slabs:	reinforced-concr	ete ($t = 0.12$ m)
Cores:	1 (elev	vator shaft)		Type of infills: Grade of damage:	red bricks, cellul DG (EMS-98) =	ar concrete 3



General view of the northwest facade



General view of the south facade



Table A5-3.2 (cont.)	e A5-3.2 (cont.) Damage case: İzmit, residential building				
Structural type	7-story RC-frame structure (building shell)				
 Extent of structural damage small cracks in columns and beams, especially at the lower stories damage to stair landings even at higher floors showing a few spalling of concrete covers (cf. →) 					

¹⁾ adopted from SCHOTT *et al.* (2003)

Table A5-3.3 Damage case: İzmit, res			residential building		IZT-2a
<i>Structural type</i> 6-story RC-frame structure (building shell)					
Description of the struct	<i>ture</i>		Elevation decign:	regular	
Anti-seismic design:	no		Plan design:	regular	
Type of use: No. of stories:	residential building		Type of foundation: Type of slabs:	\overrightarrow{RC} plate foundation reinforced-concrete ($t = 0.12$ m)	
Basement: Cores:	0 1 (elev	vator shaft)	Type of infills: Grade of damage:	no DG (EMS-98) =	3



General view of the east facade







Table A5-3.3 (cont.)	Damage case: İzmit, residential building	IZT-2a			
<i>Structural type</i> 6-story RC-frame structure (building shell)					
Extent of structural damage					
 cracks in columns and ground story hinges have formed at or column joints causing space 	beams, especially at the column bases and beam- ulling of concrete cover				

 damage to stair landings of ground floor showing heavy spalling of concrete cover and element displacements of few centimeters, partly caused by pounding effects to the vertical structural elements





Damage to stair landings of ground floor (bottom view)

Large crack at beam-column joint at ground floor



Spalling of concrete cover at ground floor column

Structural model¹⁾



Table A5-3.4 Damage case: İzmit, residential building					IZT-2b
Structural type		6-story RC-frame structu	re with masonry infills		
Description of the struct Year of construction: Anti-seismic design: Type of use: No. of stories: Basement: Cores:	eture 1999 no residen 6 0 1 (elev	ntial building rator shaft)	Elevation design: Plan design: Type of foundation: Type of slabs: Type of infills: Grade of damage:	irregular (softer g regular RC plate foundat reinforced-concre red bricks (horize DG (EMS-98) =	ground story) tion tete ($t = 0.12$ m) ontal holes) 3
Structural layout			1	2.89 2.89 2.89 0.12 2.89 0.20	
General vie	ew of th	e east facade	Schematic cr	oss-section (story l	neights)
	22.80				
Floor plan (arranger	ment of	f columns and beams)	Floor plan (a	rrangement of infil	l walls)

Table A5-3.4 (cont.)	IZT-2b					
Structural type						
 Extent of structural damage small cracks in columns and beams, especially at the ground story (cf. →) few hinges have formed at column bases and beam-column joints damage to stair landings of ground floor showing heavy spalling of concrete cover and element displacements of few centimeters moderate to heavy damage to nonstructural masonry infill walls, especially at higher floors 						
Structural model ¹⁾	column Bean-column joint at ground floor Structural model ¹ /					

¹⁾ adopted from SCHOTT *et al.* (2003)

Table A5-3.5 Damage case: İzmit, residential building					IZT-2c		
Structural type	Structural type4-story RC-frame structure with masonry infills						
Description of the strue Year of construction: Anti-seismic design: Type of use: No. of stories: Basement: Cores:	cture 2002 yes reside 4 0 1 (ele	ntial building vator shaft)	Elevation design: Plan design: Type of foundation: Type of slabs: Type of infills: Grade of damage:	irregular (soft gro regular RC slab foundati reinforced-concro red bricks (horizo	ound story) on ete ($t = 0.12$ m) ontal holes)		
Structural layout							
General vie	ew of th	te north facade	Schematic cr	2.80 60/20 1.30 0.12 2.80 0.90 2.80 +0.20 2.90 0.	heights)		
Floor plan (arrange	ement o	f columns and beams)	Floor plan (arran	ngement of brick in	nfill walls)		

Table A5-3.6	Damage case: Düz	cce, residential building		DUZ-1				
Structural type	Structural type 4-story RC-frame structure with masonry infills							
Description of the structur	e							
Year of construction:19Anti-seismic design:noType of use:hoNo. of stories:4Basement:1 (Cores:no	99 spital and sanitary cent stiff box)	Elevation design: Plan design: Type of foundation: Type of slabs: Type of infills: Grade of damage:	irregular irregular plate foundation reinforced-concre red bricks (horize DG (EMS-98) =	ete (t = 0.12 m) ontal holes) 0				
Structural layout								
<image/> <image/> <image/> <image/>								
			00 EF	2.30 0.20 2.30 0.12 3.50 0.12				
Floor plan (columns	and beams)	Floor plan (infill walls)	cr	Schematic oss-section				

Extent of structural damage

- no structural damages were documented and visible at the time of inspection

Table A5.3-7		Damage case: Düzce, residential building			DUZ-2	
<i>Structural type</i> 5-story RC-frame struc			structure	with masonry infills		
Description of the structure						
Year of construction: Anti-seismic design: Type of use: No. of stories: Basement: Cores:	1995/96 no residential building 5 1 no			Elevation design: Plan design: Type of foundation: Type of slabs: Type of infills: Grade of damage:	irregular (cantilevering up regular RC plate foundat reinforced-concr red bricks (horize DG (EMS-98) =	tion ete ($t = 0.10$ m) ontal holes) 2-3



General view of the south facade





General view of the south-west facade



Extent of structural damage

- very few small cracks to structural elements
- moderate to heavy damage to nonstructural infill walls at every story
- collapsed roof consisting of timber trusses and red tiles

Table A5-3.8 Damage c		Damage case: Seymo	nage case: Seymen, residential building		
<i>Structural type</i> 4-story RC-frame structure with masonry infills					
Description of the struc Year of construction:	<i>cture</i> < 199	5	Elevation design:	irregular	
Anti-seismic design: Type of use: No. of stories: Basement: Cores:	no reside 4 0 no	ntial building	Plan design: Type of foundation: Type of slabs: Type of infills: Grade of damage:	irregular plate-strip found reinforced-concr red bricks (horiz DG (EMS-98) =	ation ete $(t = 0.10 \text{ m})$ ontal holes) 2
			or and of animage.	2.0 (2.00 90)	-





General view of the south facade



General view of the east facade



Schematic cross-section (story heights)

Extent of structural damage

small cracks to structural elements (RC frames), however no spalling of concrete

- moderate damage to masonry infill walls of ground floor (diagonal cracking), spalling of plaster

Table A5-3.9		Damage case: Sapanca, residential building			SAC
<i>Structural type</i> 3-story RC-frame structure with masonry infills					
Description of the structure					
Year of construction: Anti-seismic design: Type of use: No. of stories: Basement: Cores:	2002 no residential building 3 0 0		Elevation design: Plan design: Type of foundation: Type of slabs: Type of infills: Grade of damage:	irregular (soft gr irregular RC strip foundat reinforced-concr red bricks (horiz	ound story) ion ete ($t = 0.10$ m) ontal holes)
C					





General view of the east facade



General view of the west facade



Schematic cross-section (story heights)

Table A5-3.10		YZL						
Structural type	<i>Structural type</i> 4-story RC-frame structure with masonry infills							
Description of the structur	re							
Year of construction:19Anti-seismic design:noType of use:facNo. of stories:4Basement:0Cores:1	94 ctory and storage building (internal staircase)	Elevation design: Plan design: Type of foundation: Type of slabs: Type of infills: Grade of damage:	irregular (larger l ground story) regular no information reinforced-concr red bricks (horizz DG (EMS-98) =	height of ete ontal holes) 5				
Structural layout								
General	view (1994)	General vi 1999 İzmi	iew after the dama it (Kocaeli) earthqu	ging uake				
5.30	5.30 5.30 5.30	5.30 5.30	-1 -1					
4 20 4 20 5 4 20 4 20 5 00 30 5 00 30 30 5 00 30	columns 70/30 beams 60/30 slabs d=12cm 5.00 ³⁰ 5.00 ³⁰ 5.00 ³¹ 32.10	0 5.00	4.50	0.12				
Floor plan (arrangement of columns and beams) Schematic cross-section (story heights)								

Table A5-3.10 (cont.)	Damage case: Gölyaka, Yavuzlar Fındık	YZL
Structural type	4-story RC-frame structure with masonry infills	

Extent of structural damage



General view of the south facade

General view of the east facade





Damaged column-beam connection of ground floor

Damaged column base of ground floor



Table A5.3-12 (cont.)	Damage case: Cumaná, Edifício Miramar	MIR
Structural type	9-story RC-frame structure with masonry infills	
Extent of structural damage Total collapse of the Miram	har building in the front (background: multi-story buildings of simil etural type sustained the mainshock without any damages)	ar height and
Structural model ¹⁾		

¹⁾ adopted from LANG *et al.* (2003b)

Table A5-3.13	3	Damage case: Cumaná, H	Edificio Residencial		EDR
Structural type		9-story RC-frame structu	re with masonry infills		
Description of the structure Year of construction: Anti-seismic design: Type of use: No. of stories: Becoment:	cture 1995/9 yes reside 9	96 ntial building	Elevation design: Plan design: Type of foundation: Type of slabs:	regular regular pile-strip founda rib and filler tile	tion (hollow bricks)
Basement: Cores:	0 1 (stai	rcase/elevator; U-shape)	Type of infills: Grade of damage:	bricks DG (EMS-98) =	0



General view of the north facade



General view of the south facade



Table A5-3.13 (cont.)	Damage case: Cumaná, Edifício Residencial	EDR
Structural type	9-story RC-frame structure with masonry infills	
Structural model		





¹⁾ adopted from LANG *et al.* (2003b)

Curriculum vitae

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